



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/802,339	03/18/2004	Jimmy Philip	140272-1	3750
6147	7590	11/09/2005	EXAMINER	
GENERAL ELECTRIC COMPANY GLOBAL RESEARCH PATENT DOCKET RM. BLDG. K1-4A59 NISKAYUNA, NY 12309			JAGAN, MIRELLYS	
			ART UNIT	PAPER NUMBER
			2859	

DATE MAILED: 11/09/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/802,339

Applicant(s)

PHILIP ET AL.

Examiner

Mirellys Jagan

Art Unit

2859

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 November 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-9, 11-16, 32 and 33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9, 11-16, 32 and 33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1, 5, 6, 13, and 32 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent 6,338,571 to Chen.

Chen discloses an assembly comprising:

a thermistor element (24);

a positioning device (30) for positioning the element at a predetermined location within the assembly;

at least two lead wires extending from the element; and

a moisture-proof shield disposed to cover the element and the positioning device, the shield comprising a surface energy enhancing molding material (23) disposed over the element and the positioning device;

wherein the location is at a central location within the assembly; the positioning device comprises a cavity extending through the device and adapted (at 33) for receiving the element; at least three internal lobes (32) adapted to (using 211) position the element within the assembly (22); and a groove (31) positioned between two of the lobes (see figures 2 and 3; and column 2, lines 33-65).

Art Unit: 2859

Furthermore, the molding material (23) of Chen is considered to be a 'surface energy enhancing' material since the specification does not provide a definition of 'surface energy enhancing'. The specification only describes the 'surface energy enhancing' material as being a material having moisture-proof capabilities. Therefore, since the molding material (23) of Chen is moisture-proof and functions as an adhesive (an epoxy) to cover the sensor element and the positioning device, it is considered to be a 'surface energy enhancing' material.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-3, 5-7, 9, 11, 13, 14, 16, and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication 2003/0146819 to Shibayama in view of Chen.

Shibayama discloses an assembly comprising:

a thermistor element (10) comprising a thermistor made of a ceramic material and having a cross-sectional profile that is either circular or square shaped (thermistors are either circular or square shaped);

at least two metal lead wires (FeCr 30) extending from the element;

a conductor material (40) coupled to the thermistor element through the lead wires; and

a surface enhancing insulating material (epoxy 90) disposed over the conductor material;

Art Unit: 2859

wherein the lead wires and the conductor material are welded together (see figures 1 and 2A).

The material (90) of Shibayama is considered to be a 'surface energy enhancing' material since the specification does not provide a definition of 'surface energy enhancing'. The specification only describes the 'surface energy enhancing' material as being a material having moisture-proof capabilities. Therefore, since the material (90) of Shibayama is moisture-proof and functions as an adhesive (an epoxy) to cover the conductor material, it is considered to be a 'surface energy enhancing' material.

Shibayama does not disclose the assembly comprising a positioning device for positioning the element at a predetermined central location within the assembly; and a moisture-proof shield disposed to cover the element and the positioning device, the shield comprising a surface energy enhancing molding material disposed over the element and the positioning device; the positioning device comprising a cavity extending through the device and adapted for receiving the element; at least three lobes adapted to position the element within the assembly; and a groove positioned between two of the lobes.

Chen discloses an assembly comprising a thermistor element (24); a positioning device (30) for positioning the element at a predetermined location within the assembly; at least two lead wires extending from the element; and a moisture-proof shield disposed to cover the element and the positioning device, the shield comprising a surface energy enhancing molding material (23) disposed over the element and the positioning device; wherein the location is at a central location within the assembly. The positioning device comprises a cavity extending through the device and adapted (at 33) for receiving the element; at least three lobes (32) adapted

Art Unit: 2859

to (using 211) position the element within the assembly (22); and a groove (31) positioned between two of the lobes. Chen teaches that it is beneficial to provide the sensor with a positioning device in order to orient the sensor toward the tip of the probe so that heat will rapidly and evenly transmitted to the sensor in order to obtain more rapid and accurate temperature measurements (see figures 2 and 3; column 2, lines 33-65; and column 3, lines 1-5). The molding material (23) of Chen is considered to be a 'surface energy enhancing' material since the specification does not provide a definition of 'surface energy enhancing'. The specification only describes the 'surface energy enhancing' material as being a material having moisture-proof capabilities. Therefore, since the molding material (23) of Chen is moisture-proof and functions as an adhesive (an epoxy) to cover the sensor element and the positioning device, it is considered to be a 'surface energy enhancing' material.

Referring to claim 1, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Shibayama by adding a positioning device in the probe of the assembly as taught by Chen, in order to obtain more rapid and accurate temperature measurements.

Referring to claims 11 and 14, Shibayama and Chen disclose an assembly having an epoxy as the surface enhancing material in the probe disposed over the sensor and the positioning device. Furthermore, Shibayama discloses that the insulating material (90) covering the conductor material is an epoxy. Therefore, the surface energy enhancing material is considered to be disposed over the sensor and positioning device, and over the conductor material, and are considered to be 'compatible' since both materials are the same surface enhancing material, i.e., epoxy.

5. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shibayama and Chen, as applied to claims 1-3, 5-7, 9, 11, 13, 14, 16, and 32 above, and further in view of U.S. Parent 6,485,175 to Nimberger et al [hereinafter Nimberger].

Shibayama and Chen disclose an assembly having all of the limitations of claim 4, as stated above in paragraph 4, except for the material of the positioning device being made of PVC.

Nimberger discloses a thermistor probe assembly having a thermistor and lead wires connected to the thermistor and to conductor material for obtaining temperature measurements from the thermistor. The thermistor and lead wires are encased in a guide tube (97G) for inserting in a probe of the assembly, wherein the tube is made of PVC. Nimberger teaches that it may be desirable to provide a PVC material in order to electrically isolate the sensing assembly (see figure 10; column 10, lines 21-40)

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Shibayama and Chen by making the positioning device of PVC, since Nimberger teaches that PVC is a useful material for use in a thermistor probe assembly when it is desired to provide electrical isolation, and since the courts have held that a selection of a material on the basis of suitability for intended use of an apparatus would be entirely obvious See *In re Leshin*, 125 USPQ 416 (CCPA 1960).

Art Unit: 2859

6. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shibayama and Chen, as applied to claims 1-3, 5-7, 9, 11, 13, 14, 16, and 32 above, and further in view of U.S. Patent 4,548,780 to Krohn.

Shibayama and Chen disclose an assembly having all of the limitations of claim 8, as stated above in paragraph 4, except for the material of the conductor material being brass.

Krohn discloses a thermostatic probe assembly having a sensing element and lead wires connected to a conductor material (12) for obtaining thermal signals and connecting to electrical circuitry. The conductor material is made of brass for connecting the assembly to appropriate electrical circuitry (see column 2, lines 49-51).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Shibayama and Chen by making the conductor material of brass, since Krohn teaches that brass is a useful material for a conductor material in a probe assembly, and since the courts have held that a selection of a material on the basis of suitability for intended use of an apparatus would be entirely obvious See *In re Leshin*, 125 USPQ 416 (CCPA 1960).

7. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shibayama and Chen, as applied to claims 1-3, 5-7, 9, 11, 13, 14, 16, and 32 above, and further in view of U.S. Patent 5,456,682 to Edwards et al [hereinafter Edwards] and the prior art disclosed by Applicant on page 4, lines 1-5 of the specification [hereinafter Applicant].



Art Unit: 2859

Shibayama and Chen disclose an assembly having all of the limitations of claim 12, as stated above in paragraph 4, except for the surface enhancing material comprising Loctite (P770, P7452, or P34589).

Edwards discloses a temperature probe having a thermistor at a tip of the probe. A surface energy enhancing molding material is disposed over the element in the probe to encapsulate (seal) the thermistor and lead wires in the probe. Edwards teaches that loctite is a useful material for providing the encapsulation to seal the thermistor in the probe (see column 7, lines 38-55).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Shibayama and Chen by using Loctite as the surface energy enhancing molding material since Edwards teaches that loctite is useful in a temperature sensing probe to seal the thermistor in the probe, and since the courts have held that a selection of a material on the basis of suitability for intended use of an apparatus would be entirely obvious See *In re Leshin*, 125 USPQ 416 (CCPA 1960).

Furthermore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use any one of the claimed loctites, i.e., loctite (P770, P7452, or P34589), as the loctite material in the assembly of Shibayama, Chen, and Edwards since these are all known types of loctite that are commercially available in the art, as disclosed by Applicant, and since the courts have held that a selection of a material on the basis of suitability for intended use of an apparatus would be entirely obvious See *In re Leshin*, 125 USPQ 416 (CCPA 1960).

Art Unit: 2859

8. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shibayama and Chen, as applied to claims 1-3, 5-7, 9, 11, 13, 14, 16, and 32 above, and further in view of U.S. Patent Application Publication 2002/0071475 to Betzner et al [hereinafter Betzner].

Shibayama and Chen disclose an assembly having all of the limitations of claim 15, as stated above in paragraph 4, except for the lead wires being soldered to a conductor material.

Betzner discloses that it is known in the art to connect the lead wires of a thermistor of a probe assembly to a conductor material by soldering them together. The conductor material coupled to the lead wires of the thermistor connects the thermistor to electrical circuitry to obtain temperature measurement from the thermistor signals (see paragraph 2).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Shibayama and Chen by soldering the lead wires to conductor material, as taught by Betzner, in order to securely connect the thermistor to electrical circuitry and obtain temperature measurements from the thermistor signals, and since soldering is a known alternative to welding, as used by Shibayama and Chen, for joining two metal elements together.

9. Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chen in view of Shibayama.

Chen discloses an assembly having all of the limitations of claim 33, as stated above in paragraph 2, except for at least three of the lobes being externally directed.

Shibayama discloses a positioning device for positioning a thermistor element at a central location within a probe assembly, the positioning device comprising a cavity extending

Art Unit: 2859

therethrough and adapted for receiving part of the thermistor element (wires); and at least three externally directed self-centering lobes adapted to position the positioning device and the thermistor element therein within the assembly. Shibayama teaches that it is beneficial to provide lobes around the positioning element when injecting material (epoxy) in the assembly in order to prevent the positioning device from shifting out of position due to the pressure encountered when the material is inserted (see figures 7C and 7D; and paragraphs 71-73).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the assembly of Chen by adding at least three externally directed self-centering lobes to the positioning device, as taught by Shibayama, in order to prevent the positioning device and the thermistor from shifting out of position due to the pressure encountered when the positioning device is inserted.

### ***Response to Arguments***

10. Applicant's arguments with respect to claims 1-21 have been considered but are not persuasive.

Applicant states that the Chen reference fails to anticipate the rejected claims because he fails to disclose the moisture-proof shield, i.e., epoxy 23, encapsulating the positioning device, i.e., the tubular metal sleeve 30, as claimed in claim 1. However, Applicant's arguments are not persuasive this feature upon which applicant relies, i.e., the moisture-proof shield 'encapsulating' the positioning device, is not recited in the rejected claims. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). In this case, the claim 1 states

Art Unit: 2859

that the moisture-proof shield covers the positioning device, which is disclosed by Chen in figure 3, which shows the epoxy (23) covering surfaces of the metal sleeve (30).

Furthermore, Applicant states that the Chen reference fails to anticipate the rejected claims because the thermistor element can move within the assembly when the epoxy is not present, and therefore fails to disclose the positioning device, i.e., metal sleeve 30, positioning the thermistor element (24) at a pre-determined location within the assembly, as claimed in claim 1. However, Applicant's arguments are not persuasive because this feature upon which applicant relies, i.e., the thermistor element not move within the assembly, is not recited in the rejected claims. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). In this case, the claim 1 states that the positioning device is for positioning the thermistor element at a pre-determined within the assembly, which is disclosed by Chen in figure 3, which shows that the pre-determined location is within the tip of the assembly.

Applicant's arguments regarding Shibayama in view of Chen are not persuasive for the reasons stated above with respect to Chen.

Lastly, Applicant states that the Chen and Shibayama references fail to disclose lobes that are adapted to position the thermistor element within the assembly are not persuasive because the lobes of the Chen assembly are for positioning the positioning device within the assembly, which in turn positions the thermistor element within the assembly, and because the lobes of the Shibayama assembly are for positioning (centering) the positioning device within the assembly, which in turn positions the thermistor element within the assembly.

*Conclusion*

11. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn. However, because the pending claims are the same claims presented in the response filed 8/9/05, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mirellys Jagan whose telephone number is 571-272-2247. The examiner can normally be reached on Monday-Friday from 11AM to 5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Diego Gutierrez can be reached on 571-272-2245. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2859

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MJ  
November 7, 2005



**Diego Gutierrez**  
**Supervisory Patent Examiner**  
**Technology Center 2800**